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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ALFERNESS ET AL. Examiner: B. SZMAL
Serial No.: 09/880,576 Group Art Unit: 3736
Filed: JUNE 13, 2001 Docket No.: 11998.5USC2
Title: CARDIAC DISEASE TREATMENT AND DEVICE

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 20, 2003.

By:

Name:

Patricia Cygan
Patricia Cygan

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked

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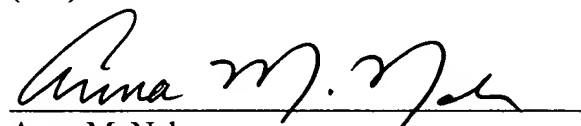
as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

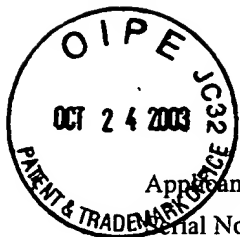
Date: October 20, 2003



Anna M. Nelson
Reg. No. 48,935
AMN:PLSpmc

34379

PATENT TRADEMARK OFFICE



GP3736

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ALFERNES ET AL.

Examiner: B. SZMAL

Serial No.: 09/880,576

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Confirmation No.: 7153

No.:

Title: CARDIAC DISEASE TREATMENT AND DEVICE

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By: Patricia CyganName: Patricia Cygan

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

34379

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 6 Reference(s)
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Anna M. Nelson

Name: Anna M. Nelson

Reg. No.: 48,935

ANelson:PLSpmc

(PTO TRANSMITTAL - GENERAL)

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